



Docket No. RSW920010159US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: R. F. Brabson, et al

Serial No.: 10/045,556

Filed: 01/11/2002

For: Dynamic Modification of Application Behavior in Response to Changing Environmental Conditions

Assistant Commissioner of Patents

Washington, DC 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 8 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents

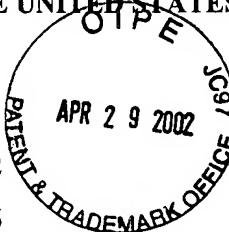
Washington, DC 20231

on April 25, 2002

Catherine M. Robbins
(Name of person mailing paper or fee)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : April 25, 2002
R. F. Brabson, et al : IBM Corporation
Filing Date: 01/11/2002 : Dept.T81/Bldg. 503
Serial No. 10/045,556 : P.O. Box 12195
For: Dynamic Modification of Application Behavior in Response : Research Triangle Park,
to Changing Environmental Conditions : North Carolina 27709



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INFORMATION DISCLOSURE STATEMENT

MAY 01 2002

Assistant Commissioner for Patents
Washington, DC 20231

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Sir:

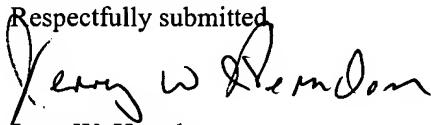
Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CFR 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

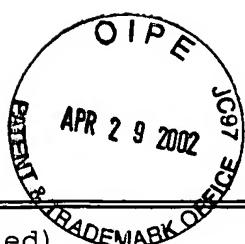
In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted

Jerry W. Herndon
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Docket No. RSW920010159US1



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

RSW920010159US1

SERIAL NO.

10/045,556

APPLICANT: R. F. Brabson, et al

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FILING DATE:

01/11/2002

GROUP:

MAY 01 2002

2121

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5	8	3	5	4	8	4		11/10/1998	Kabushiki	370	230	09/12/1997
AB	5	4	5	7	6	8	7		10/10/1995	Network Equipment Tech.	370	85.3	09/02/1993
AC	5	2	8	0	4	7	0		01/18/1994	AT&T	370	13	02/03/1993
AD	6	0	4	1	3	6	6		03/21/2000	IBM	710	5	02/02/1998
AE	5	8	9	2	7	5	4		04/06/1999	IBM	370	236	06/07/1996

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	RSW920010159US1	10/045,556
	APPLICANT: R. F. Brabson, et al	
FILING DATE:	GROUP:	MAY 01 2002
	01/11/2002	2121 Technology Center 2100

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER							DATE	NAME		CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5	5	3	0	6	9	5	06/25/1996	NEC USA, Inc.		370	17.000	12/15/1993
AB	5	4	9	7	5	0	4	03/05/1996	The Trustees of Columbia University	455	33.2		05/13/1994
AC	5	4	1	4	8	4	5	05/09/1995	IBM	395	650		
AD													
AE													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
AL								
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER	DATE CONSIDERED
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